

Quarters	Name of Company	Name of Compliance officer	Whether compliance officer qualified company secretary (Yes/No)	Membership No.	Date of Appointment	Date of resignation, if any
Dec – 2020	As Marwadi Shares and Finance Limited was not listed during the prescribed quarters, reporting for the said period would not be applicable					
Mar – 2021						
June– 2021						
Sep – 2021	Marwadi Shares and Finance Limited	Mr. Tushit Ghanshyambhai Mangukiya	Yes	F5286	03-Aug-04	NA
Dec – 2021	Marwadi Shares and Finance Limited	Mr. Tushit Ghanshyambhai Mangukiya	Yes	F5286	03-Aug-04	NA
Mar – 2022	Marwadi Shares and Finance Limited	Mr. Tushit Ghanshyambhai Mangukiya	Yes	F5286	03-Aug-04	NA
Jun – 2022	Marwadi Shares and Finance Limited	Mr. Tushit Ghanshyambhai Mangukiya	Yes	F5286	03-Aug-04	NA

ISIN: INE138I08018				
Quarters	Name of Company	Name of RTA	Date of Appointment	Date of cessation/ change, if any
Dec – 2020	As Marwadi Shares and Finance Limited was not listed during the prescribed quarters, reporting for the said period would not be applicable			
Mar – 2021				
June – 2021				
Sep – 2021	Marwadi Shares and Finance Limited	Bigshare Services Private Limited	29-Jul-21	NA
Dec – 2021	Marwadi Shares and Finance Limited	Bigshare Services Private Limited	29-Jul-21	NA
Mar – 2022	Marwadi Shares and Finance Limited	Bigshare Services Private Limited	29-Jul-21	NA
Jun – 2022	Marwadi Shares and Finance Limited	Bigshare Services Private Limited	29-Jul-21	NA
ISIN: INE138I08026				
Quarters	Name of Company	Name of RTA	Date of Appointment	Date of cessation/ change, if any
Dec – 2020	As Principal protected non-convertible market linked debentures [having ISIN INE138I08026] of Marwadi Shares and Finance Limited was listed in the month of April, 2022, reporting for the earlier quarters would not be applicable			
Mar – 2021				
June – 2021				
Sep – 2021				
Dec – 2021				
Mar – 2022				
Jun – 2022	Marwadi Shares and Finance Limited	Bigshare Services Private Limited	09-Apr-22	NA